

PTO-1390 (Rev. 12-2004)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER M500-F112
INTERNATIONAL APPLICATION NO. PCT/EP03/06191		INTERNATIONAL FILING DATE 12 June 2003
TITLE OF INVENTION DERIVATIVES OF CHROMEN-2-ONE AS INHIBITORS OF VEGF PRODUCTION IN MAMMALIAN CELLS		PRIORITY DATE CLAIMED 13 June 2002
APPLICANT(S) FOR DO/EO/US Ernesto Menta et al.		

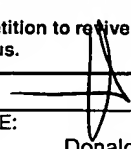
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return Receipt Postcard

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U.S. APPLICATION NO. 10/517805 <small>(if known, see 37 CFR 1.6)</small>		INTERNATIONAL APPLICATION NO. PCT/EP03/06191		ATTORNEY'S DOCKET NUMBER M500WO-F112	
21. The following fees are submitted:					
<input checked="" type="checkbox"/>	a) Basic national fee			\$300.00	\$ 300.00
<input checked="" type="checkbox"/>	b) Examination fee			\$200.00	\$ 200.00
<input checked="" type="checkbox"/>	c) Search fee			\$500.00	\$ 500.00
TOTAL OF ABOVE CALCULATIONS =				\$1000.00	\$ 1,000.00
<input checked="" type="checkbox"/>	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	
108 - 100 =	8 /50 =	1		x \$250.00	250.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	14 - 20 =		x	\$ 0.00	
Independent claims	1 - 3 =		x	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+	360.00	\$ 360.00
TOTAL OF ABOVE CALCULATIONS =				\$ 1,740.00	
<input checked="" type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 870.00
SUBTOTAL =				\$ 870.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				+	\$
TOTAL NATIONAL FEE =				\$ 870.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
TOTAL FEES ENCLOSED =				\$ 870.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$ 870.00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>031182</u> in the amount of \$ <u>870.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>031182</u> . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
				SIGNATURE:	
				Donald W. Wyatt	
				NAME	
CUSTOMER NUMBER: 32516				40,879	
				REGISTRATION NUMBER	

10/517805

DT12 Rec'd PCT/PTO 10 DEC 2004

PTO/SB/17 (12-04)

Approved through 7/31/2006. OMB 0651-0032

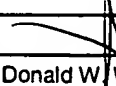
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2005		Complete if Known		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number		
		Filing Date		
		First Named Inventor	Ernesto Menta	
		Examiner Name	Not Yet Assigned	
		Art Unit	N/A	
TOTAL AMOUNT OF PAYMENT	(\$)	870.00	Attorney Docket No.	M500-F112

METHOD OF PAYMENT (check all that apply)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>031182</u> Deposit Account Name: <u>Cell Therapeutics, Inc.</u>	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee	
<input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments	

FEE CALCULATION							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	500.00
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FEES							
Fee Description						Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent						50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent						200	100
Multiple dependent claims						360	180
<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>		<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
14	- 20 =	x	=			180	180
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>				
1	- 3 =	x	=				
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>			
108	- 100 =	8 /50	1 (round up to a whole number) x	125.00	=	125.00	
4. OTHER FEE(S)							
Non-English Specification, \$130 fee (no small entity discount)							
Other: 2631 Basic National Stage fee						150.00	
2632 National Stage search fee						250.00	
2633 National Stage examination fee						100.00	
2617 National Stage oath or declaration after thirty months from priority ...						65.00	
2681 National Stage application size fee - for each additional 50 sheets ...						125.00	
2616 National Stage claims - multiple dependent						180.00	

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	40,879
Name (Print/Type)	Donald W. Wyatt	Telephone	(206) 272-4243
		Date	December 10, 2004

Express Mail Label No. EL230471352US Dated: December 10, 2004

FEE SUMMARY SHEET**Transmittal to US Designated-Elected Office (PTO 1390)**

Date: December 10, 2004
Time: 5:12 PM
Docket: M500WO-F112

Filing Date: June 12, 2003
Application No: PCT/EP03/06191
Total Fee: \$ 870.00

Code	Amount	37 CFR	Fee Description	Listed on
2631	150.00	1.492	Basic National Stage fee	Transmittal to US Designated-Elected Office (PTO 1390)
2632	250.00	1.492	National Stage search fee	Transmittal to US Designated-Elected Office (PTO 1390)
2633	100.00	1.492	National Stage examination fee	Transmittal to US Designated-Elected Office (PTO 1390)
2617	65.00	1.492(e)	National Stage oath or declaration after thirty months from priority date	Transmittal to US Designated-Elected Office (PTO 1390)
2681	125.00	1.492	National Stage application size fee - for each additional 50 sheets that exceeds 100 sheets	Transmittal to US Designated-Elected Office (PTO 1390)
2616	180.00	1.492(d)	National Stage claims - multiple dependent	Transmittal to US Designated-Elected Office (PTO 1390)

Application No. (if known): PCT/EP03/06191

Attorney Docket No.: M500WO-F112

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EL230471352US in an envelope addressed to:

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P.O. Box 1450
Alexandria, VA 22313-1450

on 10 December 2004
Date



Signature

Donald W. Wyatt

Typed or printed name of person signing Certificate

40,879
Registration Number, if applicable

(206) 272-4243
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Postcard

Fee Transmittal & Fee Summary Sheet (2 pages) and copy (2 pages) (4 pages total)

Copy of the International application (108 pages)

Transmittal Letter to the United States Designated-Elected Office (2 pages)

Certificate of Express Mailing (1 page)

Application Data Sheet (3 pages)

Copy of the International application (108 pages) and International Search Report (5 pages) (113 pages total)

Charge \$870.00 to deposit account 031182